

Substitute PTO/SSW36 (06-09)

Attorney Docket No. 0070840-000003

STATEMENT UNDER 37 C.F.R. 3.73(b)

Applicant/Patent Owner: Scarlata Limited

Application No./Patent No.: 6,555,700 Filed/Issue Date: April 29, 2003
1,3 PROPANE DIOL ESTERS AND ETHERS AND METHODS FOR THEIR USE IN DRUG
 Entitled: DELIVERY

Scarlata Limited, a corporation, states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title and interest in (the extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: David Frederick Hornbush et al. To: Scotia Holdings PLC
The document was recorded in the United States Patent and Trademark Office at Reel 012483, Frame 0651, or for which a copy thereof is attached.
2. From: Scotia Holdings PLC To: Scarlata Limited
The document was recorded in the United States Patent and Trademark Office at Reel 014051, Frame 0490, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of the title are listed on a supplemental sheet.

☒ As required by 37 C.F.R. § 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 C.F.R. § 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.03]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Shari Clayton
Signature

May 5, 2011
Date

SHEARI CLAYTON
Printed or Typed Name

DIRECTOR
Title